

***IN THE UNITED STATES PATENT AND TRADEMARK OFFICE***

Applicant: Grahame EMERSON, et al.

Title: PERFORMED ABRASIVE ARTICLES AND METHOD FOR THE  
MANUFACTURE OF SAME

Appl. No.: Not assigned

Filing Date: Herewith (November 19, 2003)

Examiner: (previous)Michael A. Marcheschi

Art Unit: 1755

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

**RELEVANCE OF EACH DOCUMENT**

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

Date: November 19, 2003

FOLEY & LARDNER  
Customer Number: 23392  
Telephone: (310) 975-7963  
Facsimile: (310) 557-8475

By 

Ted R. Rittmaster  
Attorney for Applicant  
Registration No. 32,933

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  Date Submitted: November 18, 2003  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>		
				Application Number		10/164,189 (parent)
				Filing Date		June 5, 2002 (parent)
				First Named Inventor		Grahame EMERSON
				Group Art Unit		1755
				Examiner Name		(prior)Michael A. Marcheschi
				Attorney Docket Number		025017-0202

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		5,482,756		Jeffrey L. Berger	01/09/96	
		3,688,453		Lloyd W. Legacy	09/05/72	
		4,331,453		Donald E. Dau	05/25/82	
		5,919,549		Loc X. Van	07/06/99	
		4,809,467		Thomas L. DeFazio	03/07/89	
		3,413,674		Thomas H. Reid	12/03/68	
		2,746,216		Jean P. Hollingsworth	05/2/56	
		6,001,009		Richard A. Kaiser	12/14/99	
		2,349,365		Harry C. Martin	07/09/36	
		5,791,330		Naum N. Tselsin	08/11/98	
		5,396,737		Richard L. Englund	03/14/95	
		6,095,910		Arthur P. Luedeke	08/01/00	
		5,858,140		Jeffrey L. Berger	01/12/99	
		5,046,288		Alfred F. Scheider	09/10/91	
		5,775,984		Jim C. Olson	07/07/98	
		5,885,149		Thierry Gillet	03/23/99	
		4,355,489		Raymond F. Heyer	10/26/82	
		5,329,730		Alfred E. Scheider	07/19/94	
		5,007,128		Richard L. Englund	04/16/91	
		6,251,002		Thomas E. Close	6/26/01	
		5,667,540		Jason A. Chesley	09/16/97	
		5,454,751		Ronald C. Wiand	10/03/95	
		6,048,254		Wayne O. Duescher	04/11/00	
		5,109,637		Calafut, Edward J.	05/1992	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

Examiner Signature	Date Considered
-----------------------	--------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO				<b>C mplete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  Date Submitted: November 18, 2003  <i>(use as many sheets as necessary)</i>				Application Number	10/164,189 (parent)
				Filing Date	June 5, 2002 (parent)
				First Named Inventor	Grahame EMERSON
				Group Art Unit	1755
				Examiner Name	(prior)Michael A. Marcheschi
Sheet	2	of	2	Attorney Docket Number	025017-0202

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.